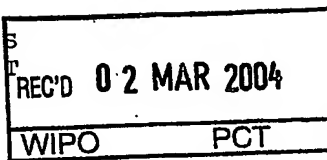


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## DIRECT UPLINK ACCESS

### FIELD OF THE INVENTION

- 5 The invention relates to uplink access in a communications system.

### BACKGROUND OF THE INVENTION

- 10 One of the problems in the performance of current cellular mobile communications systems is the service access time, i.e. the duration it takes after a user requests a service until the service is delivered. One important contributor to the lengthiness of the service access time is the used cell access mechanism.

- 15 Figure 1 shows the basic principle of a cell access mechanism widely used in the second generation cellular mobile communications systems. When a terminal 110 has user data to send it transmits to a network an access request message 111 on a Random Access CHannel (RACH) and waits for a response from the network before user data can be transmitted. For example, in GSM GPRS (General Packet Radio Service), an access request message is conveyed via a base station 120 to a
- 20 base station controller (not shown) which may contain a unit performing radio resource allocation. As a result, an access grant message 121 identifying a dedicated radio transmission resource to be used for user data transmission is transmitted from the network to the terminal 110 on an Access Grant Channel (AGCH). After receipt of the access grant message 121, depending on message contents, the
- 25 terminal 110 either has to wait for an assigned Uplink State Flag (USF) from the network before it can start sending user data 150 or it can immediately start sending user data 150 on the assigned Traffic Channel (TCH) as identified by the access grant message 121.

- 30 The cell access mechanism just described causes a relatively long minimum access delay before any user data can be transmitted. This is due to the fact that ra-

dio resources must first be requested before user data transmission can be started. The minimum access delay exists even if the radio resources of the current base station are unoccupied.

- 5 Third generation cellular mobile communications systems present improvements to the situation. For example, in a WCDMA system (Wideband Code Division Multiple Access) user data can be transmitted in a RACH message but the amount of user data which can be included in a RACH message is very limited. Although a dedicated traffic channel (DCH) providing more radio resources may subse-
- 10 quently be set up, this is not an ideal solution e.g. for a terminal which would immediately desire to start sending user data at a high data rate.

- The WCDMA system also provides a Common Packet CHannel (CPCH) which allows higher data rates but can not support mobility, such as normal handovers or
- 15 macrodiversity reception (i.e. soft handover).

## SUMMARY OF THE INVENTION

- According to a first aspect of the invention, there is provided a method between a
- 20 communications device and a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for the communications device for uplink access to the communications network, the method comprising:
- determining by the communications network and indicating to the communica-
- 25 tions device whether the direct cell access mechanism can at a given time be provided.

- Preferably, said indicating comprises indicating whether the communications device can directly start sending user data on a traffic channel.

- 30 In one embodiment, the determination whether the direct cell access mechanism

can be provided is made based on radio and/or traffic measurements performed by a base station.

5 Preferably, if the direct cell access can not be provided, the method comprises indicating to the communications device that the alternative cell access mechanism should be used. In one embodiment, the alternative cell access mechanism comprises using a separate access channel, such as RACH, for uplink access.

10 In one embodiment, said indication is performed by including a particular parameter value in a system information broadcast.

According to a second aspect of the invention, there is provided a communications device configured for operation with a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for the communications device for  
15 uplink access to the communications network, the communications device comprising:

means for receiving an indication sent by the communications network, the indication indicating to the communications device whether the direct cell access  
20 mechanism can at a given time be provided.

In one embodiment, the communications device is a mobile hand-held device of a cellular communications network.

25 According to a third aspect of the invention, there is provided a base station of a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for a communications device for uplink access to the communications network, the base station comprising:

30 means for determining and indicating to the communications device whether the direct cell access mechanism can at a given time be provided.

According to a fourth aspect of the invention, there is provided a system comprising a communications device and a communications network, which communications network generally provides at least a direct cell access mechanism and an  
5 alternative cell access mechanism for the communications device for uplink access to the communications network, the communications network comprising:  
means for determining and indicating to the communications device whether the direct cell access mechanism can at a given time be provided; and the communications device comprising:  
10 means for receiving said indication.

Dependent claims contain preferable embodiments of the invention. The subject matter contained in dependent claims relating to a particular aspect of the invention is also applicable to other aspects of the invention.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the invention will now be described by way of example with reference to the accompanying drawing in which:

20

Figure 1 shows a prior art cell access mechanism;

Figures 2 and 3 show an embodiment of the invention;

25

Figure 4 shows a base station according to an embodiment of the invention;

Figure 5 shows mobile terminal according to an embodiment of the invention; and

30

Figure 6 shows a layered protocol stack in an embodiment of the in-

vention.

## DETAILED DESCRIPTION

- 5     Figures 2 and 3 show an embodiment of the invention. In this embodiment, a base station 120 first determines and then signals to a terminal 110 whether direct access to a traffic channel at a particular (high) data rate is permitted or whether an alternative cell access mechanism (with a lower initial data rate) should be used. In this embodiment, the terminal 110 is a mobile terminal and the base station 120 is a base station of a cellular communications network which controls the access to the network in a centralized manner. The mobile terminal 110 and the base station 120 communicate with each other by means of radio frequency communication over an air-interface (radio interface).
- 10     The base station 120 continuously performs measurements concerning the cell it is serving. These measurements may involve both radio and traffic measurements. Based on the measurements, the base station 120 gets information on the congestion level of the cell. Based on the the congestion level, the base station 120 determines whether a direct uplink access to a traffic channel at a high data rate can be enabled. The base station 120 informs the mobile terminal 110 of the availability of the direct uplink access. For this purpose, a suitable parameter is defined. In this embodiment, this particular parameter is called *DirectCellAccess*. The parameter has two possible values: *Enabled* and *Disabled*. The value *Enabled* may be indicated by a binary digit "1" and the value *Disabled* may be indicated by a binary digit "0".
- 25     The base station 120 broadcasts system information over the coverage area of the cell it is serving. The broadcasting is effected by sending a broadcast message which is received by terminals residing inside the coverage area of the cell. In this embodiment, the parameter value *DirectCellAccess = Enabled* or *DirectCellAccess = Disabled* is broadcasted as a part of the system information broadcast.

30     The base station 120 broadcasts system information over the coverage area of the cell it is serving. The broadcasting is effected by sending a broadcast message which is received by terminals residing inside the coverage area of the cell. In this embodiment, the parameter value *DirectCellAccess = Enabled* or *DirectCellAccess = Disabled* is broadcasted as a part of the system information broadcast.

- If the base station 120 determines that a direct uplink access to a traffic channel can be permitted (empty or lightly loaded cell), it periodically sends the parameter value *DirectCellAccess = Enabled* as a part of the system information broadcast 230 (Fig. 2) of the cell. The system information broadcast 230 is received by the mobile terminal 110. It reads an updated value of the *DirectCellAccess* parameter as often as instructed by the parameter(s) in the system information broadcast regulating the update frequency of system information.
- 10 If the base station 120 determines that a direct uplink access to a traffic channel can not be permitted (cell loaded with traffic), it periodically broadcasts the parameter value *DirectCellAccess = Disabled* in the system information broadcast message 340 (Fig. 3).
- 15 When direct uplink access is enabled and the mobile terminal 110 has user data to send, it can directly start sending user data 150 (Fig. 2) on a traffic channel after a synchronization procedure specified by a physical layer (L1) (see Fig. 6 and the corresponding description) has been completed. Information on the traffic channel to be used may be communicated in an appropriate way to the mobile terminal 20 110. It may, for example, be communicated from the base station 120 beforehand. Also, if the system is a CDMA based system, the appropriate code(s) may be communicated from the base station 120 to the mobile terminal 110 beforehand. The term system is here considered to comprise a combination of appropriate network elements (such as a base station) and terminals.
- 25 When direct uplink access is disabled and the mobile terminal 110 has user data to send, it shall not use direct uplink access but an alternative cell access mechanism has to be used. In the embodiment shown in Figure 3, the alternative method is the two-step cell access mechanism already known as such from the prior art in which 30 the mobile terminal 110 first sends an access request message 111 to the base station 120 and waits for a access grant message 121 from the base station 120 be-

fore starting to send user data 150 on a dedicated traffic channel (DCH or similar) as identified by the access grant message 121.

5 Modifications to the embodiment of the invention just described and other embodiments will be described in the following.

It has been described that the base station 120 periodically sends the parameter value *DirectCellAccess* = *Enabled/Disabled* as a part of system information broadcast 230, 340. In one alternative embodiment, the parameter value is sent,  
10 instead of a broadcast message, in a particular multicast message which is sent to a limited set of mobile terminals. The set is formed of mobile terminals which in general, based on a user subscription (or similar), have the right to use the direct uplink access. In yet another embodiment, the parameter value *DirectCellAccess* = *Enabled/Disabled* is sent as a point-to-point message to each mobile terminal  
15 110 having right to use the direct uplink access. In yet another embodiment, the applicability of the *DirectCellAccess* parameter to individual terminals is further regulated by another parameter in the system information broadcast. This other parameter can define a certain pseudo-random group of terminals based on the least significant bits of a unique identifier such as subscriber identifier, equipment  
20 identifier or similar.

In one embodiment, an expiration time is given by the system to the parameter *DirectCellAccess*. When e.g. the system information broadcast message is used, the expiration time may be specified as a constant value or as another parameter in  
25 the system broadcast message. If the parameter value *DirectCellAccess* = *Enabled*, but it has been expired, the mobile terminal 110 may not use the expired parameter value, but has to retrieve a new one before it can start user data transmission according to the direct uplink access mechanism.

30 It has been described that the base station 120 performs measurements concerning the cell it is serving, and that it determines based on these measurements whether

the direct uplink access can be enabled. These measurements may involve both radio and traffic measurements. Which of them is/are used depends on the implementation. Typical radio measurements which may be used are, for example, measurement of the received total wide band power, SIR (Signal to Interference  
 5 Ratio) measurement, BER (Bit Error Rate) measurement, FER (Frame Error Rate) measurement or other measurement on radio signal quality. Especially, the received total wide band power indicates whether there are many users accessing to the uplink direction.

10 A reader has been given the idea that the base station 120 locally controls the use of radio resources in its cell coverage area by e.g. determining the state of the direct uplink access mechanism. However, it should be clear that the final decision on the radio resources may alternatively be performed by another network element. Decisions are preferably performed by the base station 120, though, since  
 15 this would reduce the delay experienced by the terminal 110.

A typical environment in which the use of the direct uplink access fits well would be a packet switched system with small cell sizes and short data bursts (e.g. IP packet bursts (Internet Protocol)) and having only little continuous traffic. In a  
 20 lightly loaded cell, even if there is more than one terminal sending data at a high data rate, collisions are not very likely if the bursts are short. Thus, a high data rate transmission with only a small access delay can be provided to a restricted amount of users without compromising system performance.

25 Another advantage is that close to all cell uplink capacity can, in certain situations, be used for transfer of user data. For example, if a high data rate user is the only user of the cell, RACH channel capacity might either not be needed at all, or it needs to be reserved only for a new user to register to the cell or to perform handover to the cell. This kind of situation may arise e.g. with a private access  
 30 point. When direct uplink access to a traffic channel is enabled, only a minimum amount of RACH channel capacity is needed since one user cannot collide and

not many other users are expected to access via said access point. The "released" RACH channel capacity may thus be used for transfer of user data.

5 The basic ideas of the invention are, in principle, applicable to any digital wireless or cellular system with centralized architecture. This includes 2<sup>nd</sup> and 3<sup>rd</sup> generation and possible future generations of cellular systems as well as wireless local area networks, where both low delay and high throughput efficiency are targeted. No restrictions are placed on the general radio access method, it can be e.g. TDMA (time division multiple access) or CDMA (code division multiple access).  
10 The system involved may be a multicarrier system, such as an OFDM (Orthogonal Frequency Division Multiplexing) based system as well.

Figure 4 shows a base station 120 according to an embodiment of the invention. The base station comprises a processing unit 420, a radio frequency part 440 and a  
15 network interface 425. The processing unit 420 controls the operation of the base station 120. The radio frequency part 440 and the network interface 425 are coupled to the processing unit 420. The radio frequency part 440 receives and transmits user data from and to mobile terminals 110 which operate in the area of the cell which the base station 120 serves. Communication to and from the rest of the  
20 network is performed via the network interface 425.

The processing unit 420 comprises a Radio Resource Control (RRC) block. The mentioned radio and traffic measurements are performed by the radio frequency part 440 and/or the network interface 425 and analysed by the RRC block. The  
25 RRC block makes based on the analysis decisions on whether direct cell access should be enabled or disabled and controls the radio frequency part 440 such that the right parameter value (*DirectCellAccess = Enabled/Disabled*) is sent at each time to mobile terminals 110 concerned.

30 In other words, what the base station 120 actually does is that it controls the use of radio resources in the cell by enabling and disabling the usage of a cell access

mechanism. Combining this method with other means known from cellular and wireless systems further improves the efficiency of radio resource usage. When the cell is empty or lightly loaded, the base station 120 enables direct sending of user data on high data rate with only a small access delay. When the congestion  
 5 level in the cell increases, only a slower access mechanism is permitted to be used in order to avoid collisions on the high data rate channel thereby saving radio resources.

Figure 5 shows a mobile terminal 110 according to an embodiment of the invention. In this embodiment, the mobile terminal 110 is a cellular mobile terminal  
 10 comprising a processing unit MCU, a radio block 540 and a user interface UI. The processing unit MCU controls the operation of the mobile terminal 110 with the aid of software SW. The software SW resides in a memory 515. The radio block 540 and the user interface UI are coupled to the processing unit 420. The radio  
 15 block 540 receives and transmits user data from and to the base station 120 by means of radio communication over an air-interface. The user interface UI may comprise a keyboard, a display, a microphone and a speaker (not shown) for enabling the user of the mobile terminal 110 to use the terminal 110.

20 The system information broadcast (or another message) 230, 340 carrying the parameter value *DirectCellAccess = Enabled/Disabled* is received via the radio block 540 and processed by the processing unit MCU. The software comprises a protocol layer corresponding to the RRC layer of the base station 120. Said protocol layer interprets the received parameter value and controls the radio block 540  
 25 so that the right access mechanism (direct cell access or an alternative mechanism) is used.

Figure 6 shows how the air-interface (radio interface) between the mobile terminal 110 and the base station 120 (or network) is layered into protocol layers according  
 30 to the WCDMA system. A protocol stack having three layers is formed. Each protocol layer implemented in the mobile terminal 110 communicates with a corre-

sponding protocol layer implemented in the base station 120 (or network).

The lowest layer is the physical layer (Layer 1 (= L1)). The physical layer provides transport services to higher layers of the protocol stack. It provides transport  
5 channels for communication, such as the RACH, CPCH and DCH mentioned in the foregoing description.

The second lowest layer (L2) is the radio link layer. It provides data transfer on logical channels. The logical channels can be divided into control and traffic  
10 channels, wherein the control channels are used for transfer of control information only and the traffic channels are used for transfer of user data. Both types of channels are mapped onto the L1 transport channels.

The layer (L3) above the radio link layer is called the network layer. One of its  
15 functions in a radio system is radio resource control which is performed by an RRC sublayer.

In one embodiment of the invention, the information on the availability of the direct access mechanism (*DirectCellAccess* parameter value) is transmitted on the  
20 network level (L3). The RRC sublayer is capable of mobility services, such as handovers. Therefore, after high speed user data transmission has begun in accordance with the direct uplink access mechanism on a traffic channel, the transmission can be continued during a possible handover. This is not possible e.g. with the prior art CPCH method in which transmission has to be stopped if trans-  
25 mission conditions weaken.

The traffic channel on which the mobile terminal 110 can directly start sending user data according to the direct uplink access mechanism, may be defined as a channel on which all the necessary RRC functions can be implemented, i.e. in this  
30 case a traffic channel of the radio link layer (L2).

The layers L1, L2 and L3 can be implemented by a suitable combination of hardware and software in the mobile terminal 110 (Fig. 5) and base station 120 (Fig. 4).

- 5 Particular implementations and embodiments of the invention have been described. It is clear to a person skilled in the art that the invention is not restricted to details of the embodiments presented above (e.g. the parameter names, the configuration of the protocol layers), but that it can be implemented in other embodiments using equivalent means without deviating from the characteristics of the
- 10 invention. The scope of the invention is only restricted by the attached patent claims.

## CLAIMS:

1. A method between a communications device and a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for the communications device for uplink access to the communications network, the method comprising:
  - determining by the communications network and indicating to the communications device whether the direct cell access mechanism can at a given time be provided.
2. A method according to claim 1, wherein in a situation in which the direct cell access can not be provided the method comprises:
  - indicating to the communications device that the alternative cell access mechanism should be used.
3. A method according to claim 2, wherein the alternative cell access mechanism comprises using a separate access channel for uplink access.
4. A method according to any preceding claim, wherein said indicating whether the direct cell access mechanism can be provided comprises indicating whether the communications device can directly start sending user data on a traffic channel.
5. A method according to claim 4, wherein a radio interface between the mobile communications device (110) and the base station (120) is layered into protocol layers which form a protocol stack, and the traffic channel forms part of a logical traffic channel operating on a data link layer (Layer 2) of the protocol stack.
6. A method according to claim 5, wherein said indicating whether the commu-

communications device can directly start sending on a traffic channel is carried out on a network layer (Layer 3) of the protocol stack.

- 5 7. A method according to claim 1, wherein said indicating whether the direct cell access mechanism can be provided is performed by sending a broadcast message to a set of communications devices including the communications device of claim 1.
- 10 8. A method according to claim 7, wherein said broadcast message contains a parameter value further restricting the set of communications devices.
- 15 9. A method according to claim 1, wherein said indicating whether the direct cell access mechanism can be provided is performed by sending a multicast message to a limited set of communications devices including the communications device of claim 1.
- 20 10. A method according to claim 1, wherein said indicating whether the direct cell access mechanism can be provided is performed by sending a point-to-point message to the communications device.
- 25 11. A method according to any of the claims 7 to 10, wherein said message conveys to the communications device a parameter value indicating whether the direct cell access mechanism is enabled.
- 30 12. A method according to any preceding claim, wherein the communications network comprises a base station serving a cell of a mobile communications system, and wherein the method comprises:
  - performing traffic and/or radio measurements by the base station; and
  - determining by the base station whether the direct cell access mechanism can at a given time be provided on the basis of said measurements.

13. A communications device (110) configured for operation with a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for the communications device (110) for uplink access to the communications network, the communications device (110) comprising:
- 5 means (RF, MCU, 515, SW) for receiving an indication sent by the communications network, the indication indicating to the communications device (110) whether the direct cell access mechanism can at a given time be provided.
- 10 14. A communications device (110) according to claim 13, wherein the communications device is a mobile hand-held device of a cellular communications network.
- 15 15. A base station (120) of a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for a communications device (110) for uplink access to the communications network, the base station (120) comprising:
- 20 means (420, 425, 440) for determining and indicating to the communications device (110) whether the direct cell access mechanism can at a given time be provided.
- 25 16. A system comprising a communications device (110) and a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for the communications device (110) for uplink access to the communications network, the communications network comprising:
- 30 means (420, 425, 440) for determining and indicating to the communications device (110) whether the direct cell access mechanism can at a given time be provided; and the communications device (110) comprising:
- means (RF, MCU, 515, SW) for receiving said indication.

(57) Abstract

The invention relates to a method between a communications device (110) and a communications network, which communications network generally provides at least a direct cell access mechanism and an alternative cell access mechanism for the communications device for uplink access to the communications network. The method comprises determining by the communications network and indicating (230) to the communications device (110) whether the direct cell access mechanism can at a given time be provided. This being the case, the communications device can directly start sending user data (150) on a traffic channel. In a situation in which the direct cell access can not be provided, it is indicated to the communications device that the alternative cell access mechanism should be used.

Fig 2.

1/5  
L 7

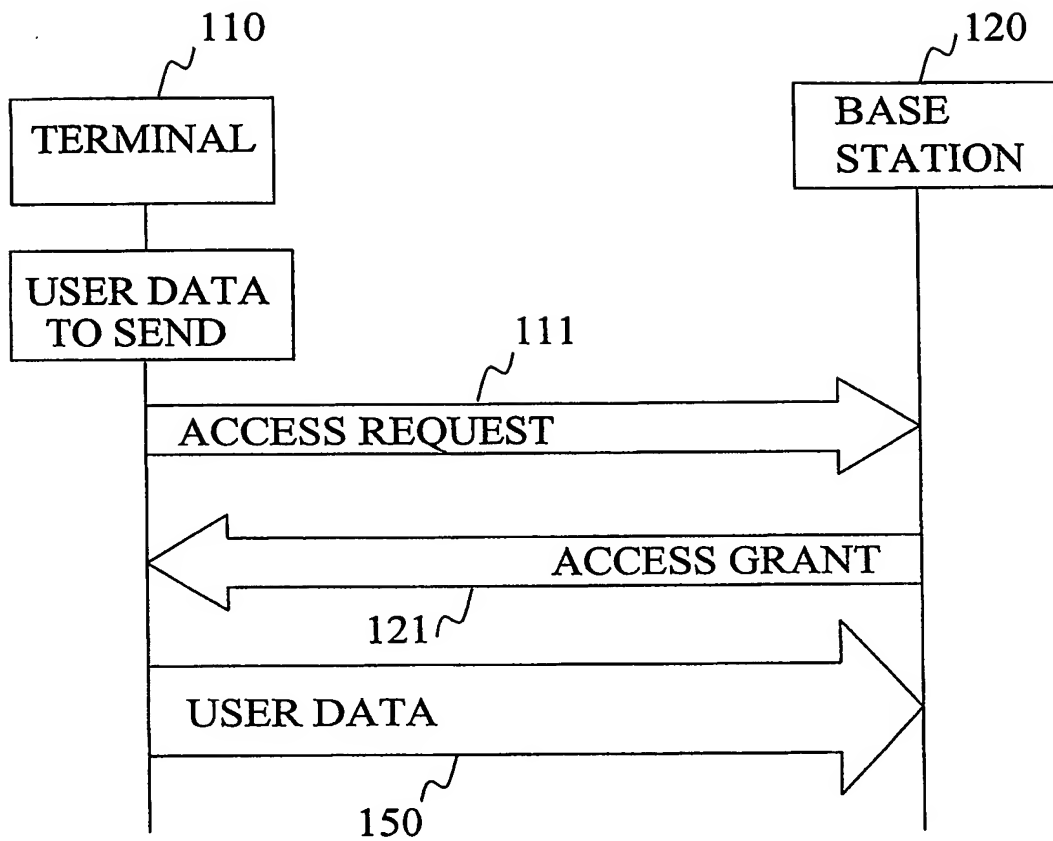


Fig. 1  
Prior Art

2/5  
L7

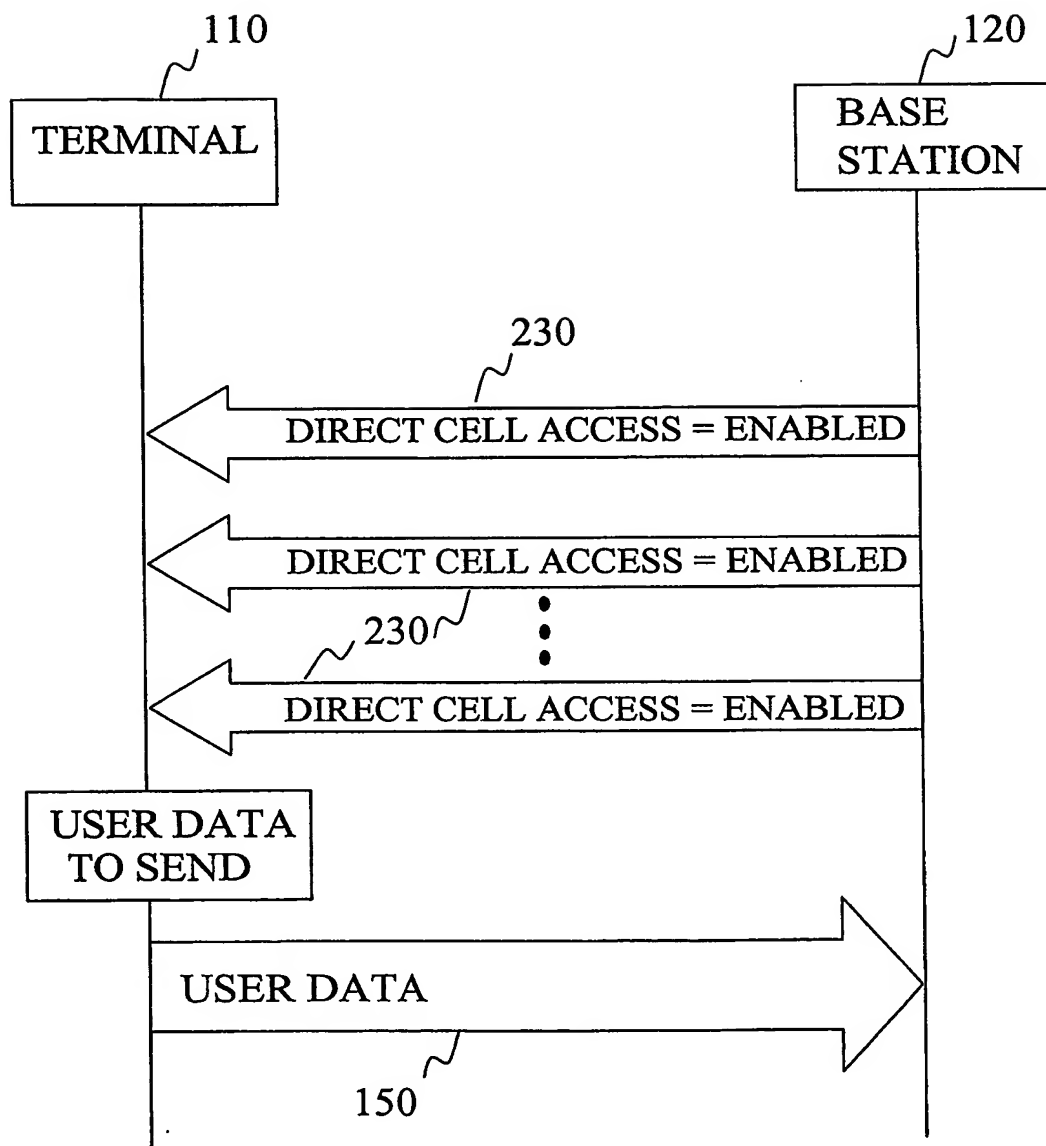


Fig. 2

3/5  
L7

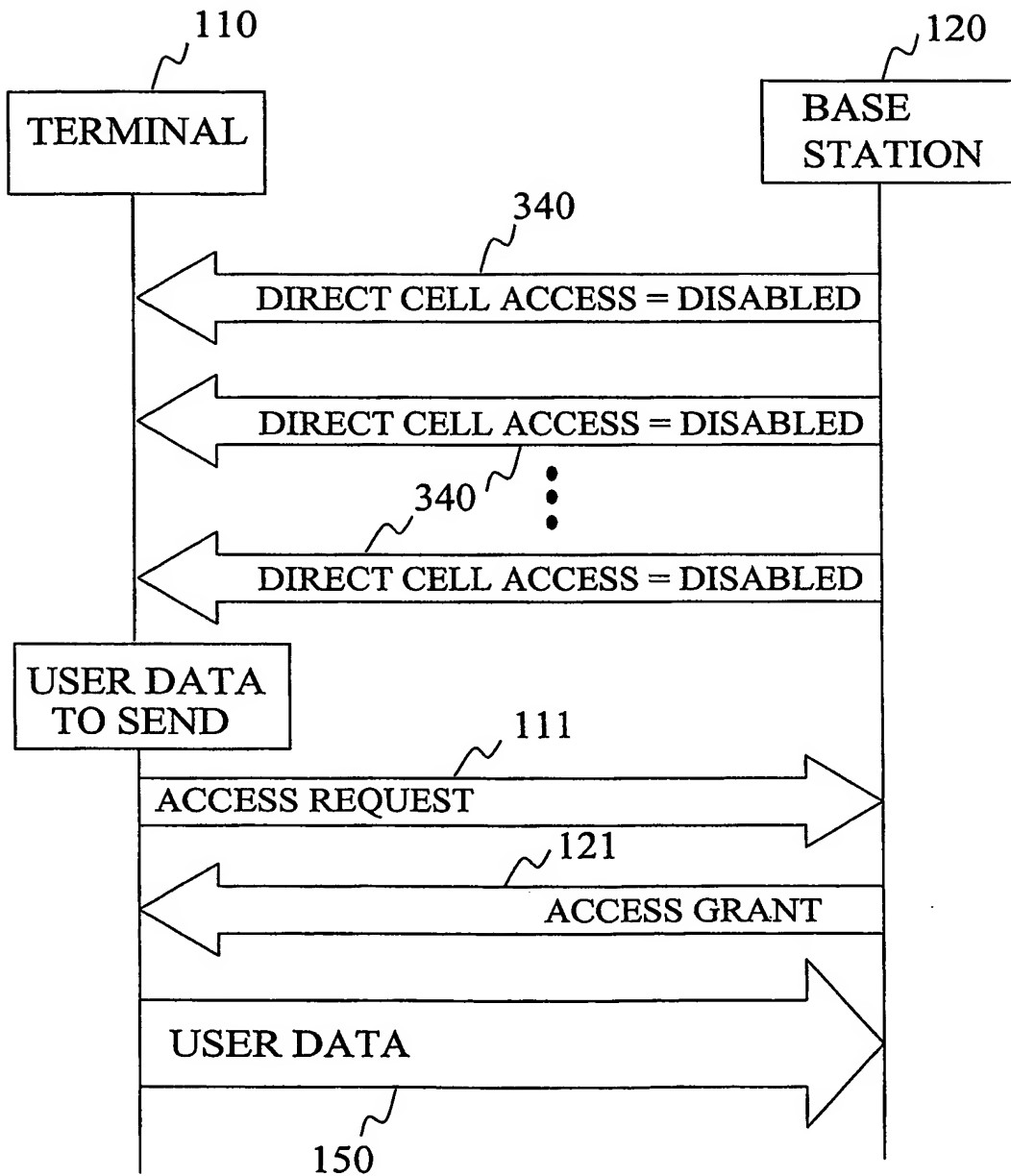


Fig. 3

4/5  
L7

120

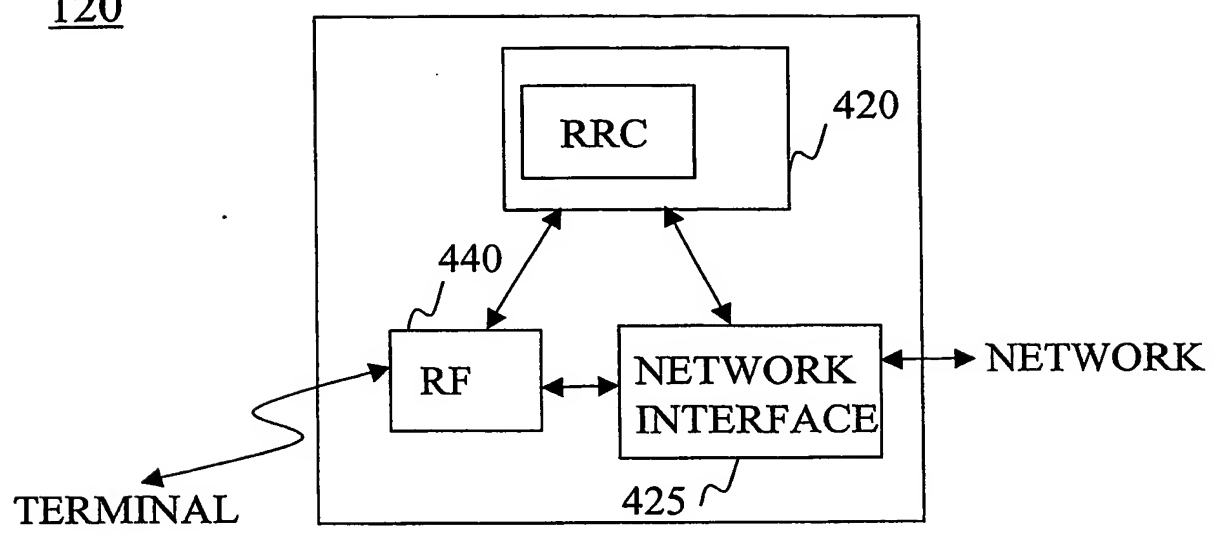


Fig. 4

110

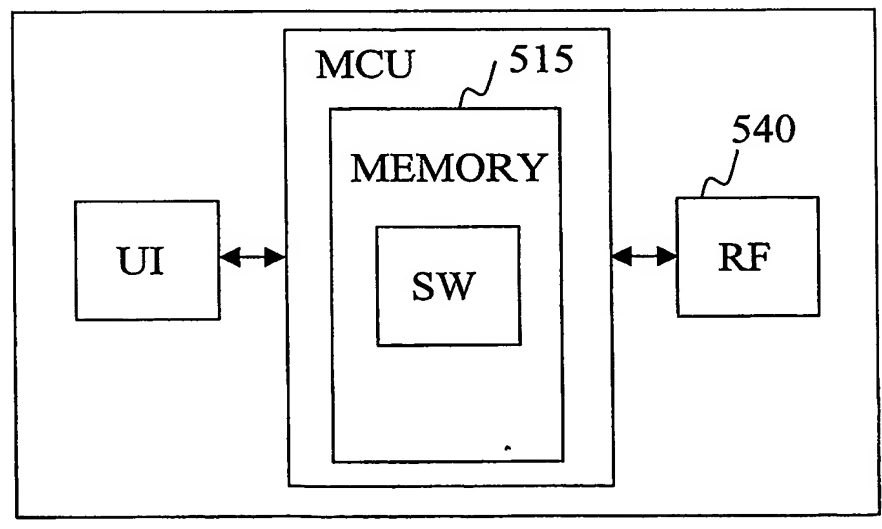


Fig. 5

5/5  
L7

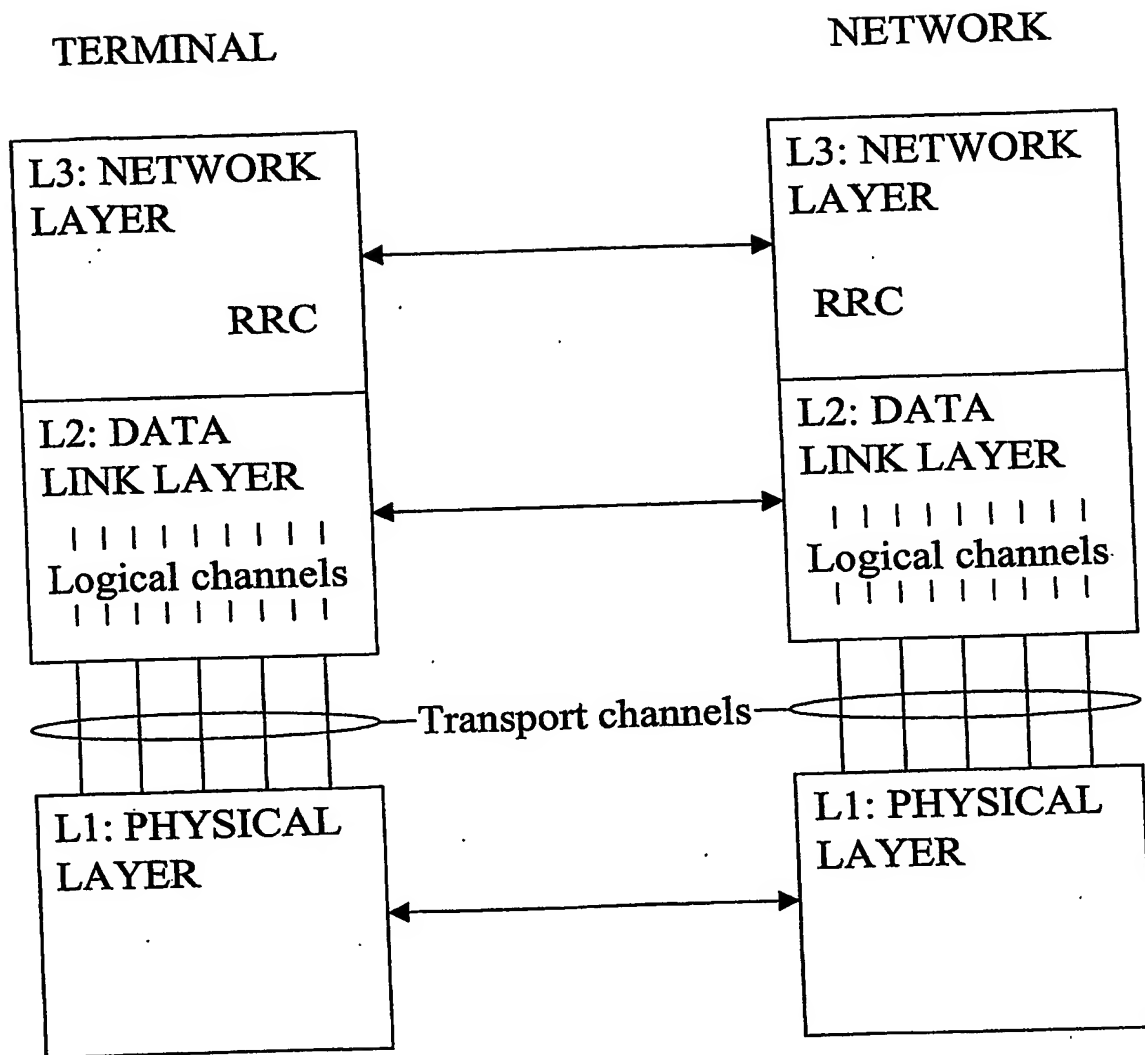


Fig. 6

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